

UNITED STATES GOVERNMENT

NATIONAL LABOR RELATIONS BOARD

FREEDOM OF INFORMATION ACT BRANCH

Washington, D.C. 20570

Via email

March 21, 2023

Re: FOIA Request No. NLRB-2023-000831

Dear Zachary T. Greder (Burns, Figa & Will, P.C.):

This is in response to your request, under the Freedom of Information Act (FOIA), 5 U.S.C. § 552, received on February 16, 2023, in which you initially sought "the response/request for dismissal of the charge" and "any related exhibits or documents filed by" the employer's counsel in *Grey Star*, Case No. 27-CA-269650. You assumed financial responsibility for the processing of your request in the amount of \$37.00.

We acknowledged your request on February 16, 2023. By email with a member of my staff on February 28, 2023, you clarified that your request seeks "all the documents filed by, and correspondence with, the employer/employer's attorney."

Your request is granted in part and denied in part, as explained below.

A search of the Agency's electronic casehandling system, NxGen, has been conducted. This search has yielded 30 pages of responsive, releasable records from the requested case file, which are attached.

After a review, I have determined that portions of the records are exempt from disclosure under Exemptions 6 and 7(C) of the FOIA (5 U.S.C. § 552(b)(6) and (b)(7)(C) to protect the privacy interests of individuals named in the case file. These redactions were made pursuant to FOIA Exemption 6, which pertains to information the release of which would constitute a clearly unwarranted invasion of personal privacy, and FOIA Exemption 7(C), which pertains to records or information compiled for law enforcement purposes, the release of which could reasonably be expected to constitute an unwarranted invasion of personal privacy. 5 U.S.C. § 552(b)(6) and (b)(7)(C).

In addition, I have determined that certain exhibits to the position statement, consisting of 30 pages, should be withheld in their entirety under Exemptions 6 and 7(C) since, if released at all, it could constitute an unwarranted invasion of privacy. While descriptions of the exhibits are referenced in the position

statement, the records themselves contain personal information about an individual from a personnel file warranting privacy protection under these exemptions.

Exemption 6 permits agencies to withhold information about individuals in "personnel and medical and similar files" where the disclosure of the information "would constitute a clearly unwarranted invasion of personal privacy." 5 U.S.C. § 552(b)(6). Am. Immigration Lawyers Ass'n v. Exec. Office for Immigration Review, 830 F.3d 667, 673 (D.C. Cir. 2016). The "files" requirement covers all information that "applies to a particular individual." Ayuda, Inc. v. FTC, 70 F.Supp.3d 247,264 (D.D.C. 2014) (citing *U.S. Dep't of State v. Wash. Post Co.*, 456 U.S. 595, 601-02 (1982)). "Similar files' has been interpreted broadly to include '[g]overnment records on an individual which can be identified as applying to that individual." Pavement Coatings Technology Council v. United States Geological Survey, 2019 WL 7037527, *8 (D.D.C. Dec. 19, 2019) (quoting Wash. Post Co., 456 at 602). See Judicial Watch, Inc. v. FDA, 449 F.3d 141, 198-199 (D.C. Cir. 2006) (Exemption 6 may exempt not just files, but personal information such as names and addresses). Exemption 7(C) permits agencies to withhold information compiled for law enforcement purposes where disclosure of the information "could reasonably be expected to constitute an unwarranted invasion of personal privacy." 5 U.S.C. § 552(b)(7)(C); U.S. Dep't of Justice v. Reporters Comm. for Freedom of the Press, 489 U.S. 749, 756 (1989), see also Brennan Center for Justice at New York University School of Law v. DOJ. 2020 WL 1189091, *3-4, (D.D.C. Mar. 12, 2020) (reaffirming that Exemption 7(C) imposes a "lower bar for withholding" than Exemption 6,).

Application of Exemptions 6 and 7(C) requires a two-part balancing test that considers: (1) whether there is a legitimate personal privacy interest in the requested information, and, if so; (2) whether there is a countervailing public interest in disclosure that outweighs the privacy interest. Judicial Watch, Inc. v. Nat'l Archives & Records Admin., 214 F. Supp. 3d 43, 58 (D.D.C. 2016), aff'd, 876 F.3d 346 (D.C. Cir. 2017), citing Nat'l Archives & Records Admin. v. Favish, 541 U.S. 157, 171 (2004). With respect to the first factor, the Supreme Court has described Exemptions 6 and 7(C) as reflecting privacy interests in "avoiding disclosure of personal matters," Reporters Comm., 489 U.S. at 762, maintaining the "individual's control of information concerning his or her person," id. at 763, avoiding "disclosure of records containing personal details about private citizens," id. at 766, and "keeping personal facts away from the public eye." id. at 769. Consistent with these concerns, privacy interests have been recognized for individuals named in a law enforcement investigation, including third parties mentioned in investigatory files, as well as witnesses and informants who provide information during the course of an investigation. See Rugiero v. U.S. Dep't of Justice, 257 F.3d 534, 552 (6th Cir. 2001); Nation Magazine v. U.S. Customs Serv., 71 F.3d 885, 894 (D.C. Cir. 1995); and Van Bourg, Allen, Weinberg & Roger v. NLRB, 751 F.2d 982, 985 (9th Cir. 1985).

The withheld records are exempt from disclosure under the above balancing test. They are investigative files obtained by the Agency for the purpose of enforcing the National Labor Relations Act, and contain individuals' names, addresses, and other identifying information that fit squarely within the types of privacy interests that Exemptions 6 and 7(C) were intended to protect from disclosure. By contrast, I perceive no countervailing public interest in disclosure. The public's interest in disclosure depends on "the extent to which disclosure would serve the 'core purpose of the FOIA,' which is 'contribut[ing] significantly to public understanding of the operations or activities of the government." U.S. Dep't of Def. v. Fed. Labor Relations Auth., 510 U.S. 487, 495 (1994) (emphasis in original), quoting Reporters Comm., 489 U.S. at 775. As the Supreme Court further explained in Nat'l Archives & Records Admin., 541 U.S. at 172, to defeat a privacy interest there must be some indication that the "public interest sought to be advanced is a significant one, an interest more specific than having the information for its own sake . . . [and that] the information is likely to advance that interest." No such public interest is evident here that outweighs the private interests identified above. For the foregoing reasons, the records are protected from disclosure under Exemptions 6 and 7(C).

For the purpose of assessing fees, we have placed you in Category A, commercial use requester. This category refers to requests "from or on behalf of a person who seeks information for a use or purpose that furthers the commercial, trade, or profit interests of the requester or the person on whose behalf the request is made, which can include furthering those interests through litigation." NLRB Rules and Regulations, 29 C.F.R. § 102.117(d)(1)(v). Consistent with this fee category, you "will be assessed charges to recover the full direct costs of searching for, reviewing for release, and duplicating the records sought." 29 C.F.R. § 102.117(d)(2)(ii)(A). Charges are \$9.25 per quarter-hour of professional time. 29 C.F.R. § 102.117(d)(2)(i).

One hour of professional time was expended in reviewing for release the requested material. Accordingly, please remit \$37.00.

Payment Instructions: We are no longer accepting checks or money orders as payment. To submit payment for your FOIA request, please use www.pay.gov. From the www.pay.gov home page, scroll down to the bottom left corner to select "Pay a FOIA Request." Click "See all options" and go to "Filter By Agency" to check the box for the National Labor Relations Board. Continue following instructions on the website. Please remember to include the Invoice Number, which is the NLRB FOIA Case No., and the amount you intend to pay. Further, please be advised that all FOIA payments must paid in full before any future FOIA requests are processed.

You may contact Jodilyn Breirather, the FOIA Specialist who processed your request, at (414) 930-7208 or by email at Jodilyn.Breirather@nlrb.gov, as well as the Agency's FOIA Public Liaison, for any further assistance and/or to discuss any aspect of your request. The FOIA Public Liaison, in addition to the FOIA Specialist, can further explain responsive and releasable agency records, suggest agency offices that may have responsive records, and/or discuss how to narrow the scope of a request in order to minimize fees and processing times. The contact information for the Agency's FOIA Public Liaison is:

Kristine M. Minami, FOIA Public Liaison National Labor Relations Board 1015 Half Street, S.E., 4th Floor Washington, D.C. 20570 Email: FOIAPublicLiaison@nlrb.gov

Telephone: (202) 273-0902 Fax: (202) 273-FOIA (3642)

After first contacting the Agency, you may additionally contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. The contact information for OGIS is:

Office of Government Information Services National Archives and Records Administration 8601 Adelphi Road-OGIS College Park, Maryland 20740-6001 Email: ogis@nara.gov

Telephone: (202) 741-5770 Toll free: (877) 684-6448 Fax: (202) 741-5769

You may obtain a review of this determination under the NLRB Rules and Regulations, 29 C.F.R. § 102.117(c)(2)(v), by filing an administrative appeal with the Division of Legal Counsel (DLC) through FOIAonline at: https://foiaonline.gov/foiaonline/action/public/home or by mail or email at:

Nancy E. Kessler Platt, Chief FOIA Officer National Labor Relations Board 1015 Half Street, S.E., 4th Floor Washington, D.C. 20570 Email: DLCFOIAAppeal@nlrb.gov

the reasons upon which it is based.

Any appeal must be postmarked or electronically submitted within 90 calendar days of the date of this letter. Any appeal should contain a complete statement of

Please be advised that contacting any Agency official (including the FOIA Specialist, FOIA Officer, or the FOIA Public Liaison) and/or OGIS does not stop the 90-day appeal clock and is not an alternative or substitute for filing an administrative appeal.

Sincerely,

ISI Synta E. Keeling

Synta E. Keeling FOIA Officer

Attachment: (30 pages)